

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Roger S. Kerr, et al

TRACKING AN IMAGE-RECORDING  
MEDIUM USING A WATERMARK AND  
ASSOCIATED MEMORY

Serial No.

Filed Herewith

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Group Art Unit:

Examiner:

Express Mail Label No. EV 293527870 US

Sept. 12, 2003  
Date

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

<b>FORM PTO-1449</b> <b>US DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	Atty. Docket No. <b>85339RRS</b> Customer No. 01333	Serial No.
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee	Applicant: <b>Roger S. Kerr, et al</b>	
<b>LIST OF ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	Filing Date	Group

**U.S. PATENT DOCUMENTS**

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,835,301	09-10-1974	Barney			
	4,075,018	02-21-1978	Custer			
	5,266,968	11-30-1993	Stephenson			
	5,528,222	06-18-1996	Moskowitz et al.			
	5,850,481	05-08-1995	Rhoads			
	5,875,249	01-08-1997	Mintzer et al.			
	5,912,972	06-15-1999	Barton			
	5,949,88	04-28-1997	Leighton			
	6,031,914	08-27-1997	Tewfik et al.			
	6,044,156	04-28-1997	Honsiger et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	TK5550, Temic Semiconductors, Read/Write Transponder, Rev.A1, 30-Apr-97
	e5550, Temic Semiconductors, Standard Read/Write Identification IC, Rev.A3, 17-Mar-98
	HID Corp., Multi-Technology Card Guide
	Atmel Smard Card ICs, Silicon Solution Making Smart Cards Smarter
	Atmel Read-Only Transponder, TK5530, Rev.A5, 19-Dec-01
	Philips Semiconductors, mifare Standard Card IC, MF1 IC S50 Functional Specification, Revision 5.1
	Texas Instruments, Radio Frequency Identificatin Systems, Tag-It, Moving Concepts to Reality
	Tiris Technology by Texas Instruments, Making RFID work for you, Open Forum
	The Cutting Edge of RFID Technology and Applications for Manufacturing and Distribution by Sysy d'Hont, Texas Instrument TIRIS
	White Paper - doc center, Latest Generation Technology for Immobilizer Systems, Dipl-Ing. Michael Knebelkamp, Dipl-Ing. Herbert Meier, Freising

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	